

# “THE DYNAMIC IN THE STATIC”\*

## MANIFOLDS, BRAIDS, AND CLASSICAL NUMBER THEORY

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V 1 9 coil of rope

Det. rope, exx.  $\text{𐤅𐤍𐤏}$   $\tau$  *nwh* ‘rope’;  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *hst* ‘front-rope’ of ship; actions with rope or cord, exx.  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *th* ‘drag’;  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *ts* ‘tie’;  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *mnh* ‘string’ beads;  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *sm* ‘en-circle’, ‘surround’. Probably from  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *snw* ‘network’, phon. or phon. det. *sn* in  $\text{𐤅𐤍𐤏𐤃}$  var.  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *sm* ‘dispute’, the relations of which with  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *sm* ‘exorcise’, ‘litigate’ and with  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *sm* ‘contend’ require further study. Another possibly related word is  $\tau$  *st* (*sm*?)<sup>4</sup> ‘hundred’ (§§ 259, 260). A similar, but doubtless different, sign is det. in  $\text{𐤅𐤍𐤏𐤃}$   $\tau$  *st* ‘bent appendage’ (of metal?) belonging to the crown  $\text{𐤅𐤍𐤏𐤃}$ .

<sup>1</sup> *M. n. K.* 1, 3.

<sup>2</sup> Cairo 20393, 20363, cf. in the title *Imy-r sm*; cf. too a title *sm* discussed *J.E.A.* 9, 15, n. 2.

<sup>3</sup> *AZ.* 36, 138.

<sup>4</sup> *AZ.* 36, 135.

<sup>5</sup> *Ork.* iv, 200, 15.

\*M.C. Escher, letter to his nephew Rudolph Escher, 22 Feb. 1957.

# §I. KNOTS

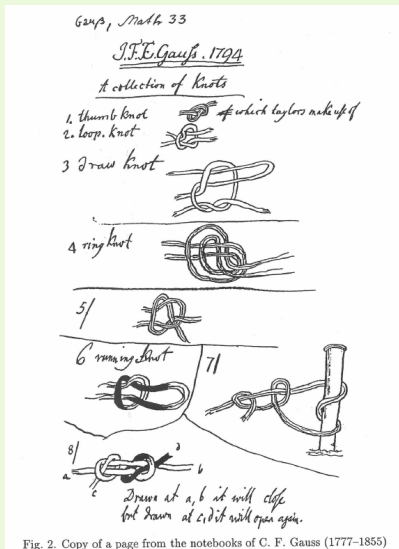


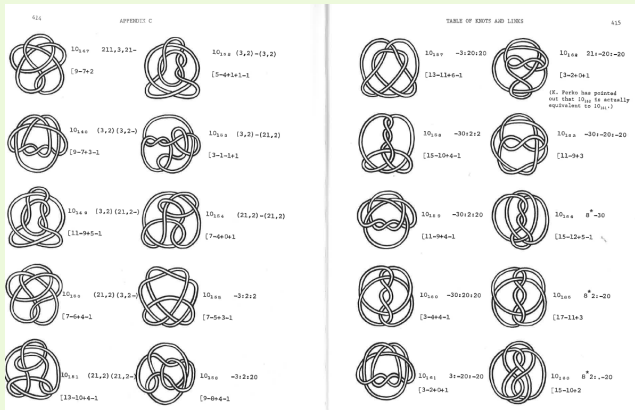
Fig. 2. Copy of a page from the notebooks of C. F. Gauss (1777–1855)

J. C. Thurner and P. v.d.Griend (eds.), *History and science of knots*, p. x.

# KNOTS AND LINKS

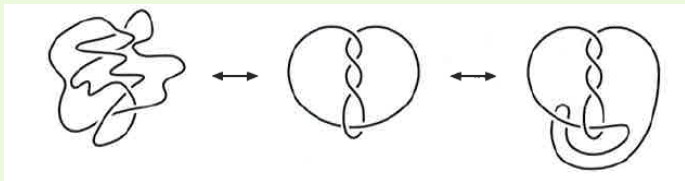
## Definition

A **knot** is an embedding  $S^1 \rightarrow S^3$ . A **link** is an embedding  $S^1 \sqcup \dots \sqcup S^1 \rightarrow S^3$ .



## Definition

Two knots are **equivalent** if there is an ambient isotopy of  $S^3$  which transforms one to the other.

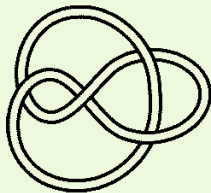
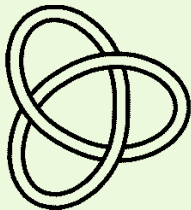
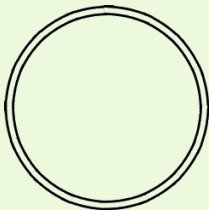


C. Adams, *The knot book*, p. 2.

# DISTINGUISHING KNOTS

## Exercise

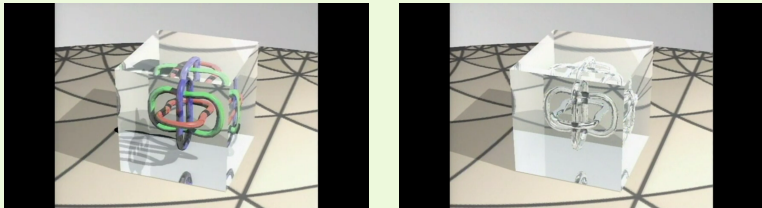
How do you know these three knots are different?



KnotPlot images from Scott Morrison and Dror Bar-Natan's *The knot atlas*, <http://katlas.org/>.

# KNOT COMPLEMENTS

If  $k$  is a knot or link, then  $S^3 \setminus k$  is a smooth oriented 3-manifold.



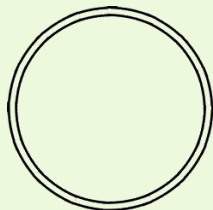
From Gunn/Maxwell, *Not Knot*: <https://www.youtube.com/watch?v=4aN6vX7qXPQ>.

Theorem (Gordon/Luecke, 1989)

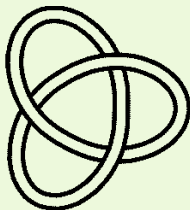
*Knots (but not general links) are determined topologically by their complements up to homeomorphism.*

# KNOT COMPLEMENTS

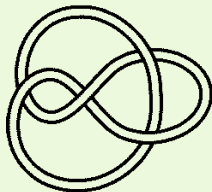
By Gordon–Luecke,  $\pi_1(S^3 \setminus k)$  is a knot invariant. A presentation can be computed mechanically from a diagram of the knot.



$\langle x \rangle$



$\langle x, y : xyx = yxy \rangle$



$\langle x, y : yxy^{-1}xy = xyx^{-1}yx \rangle$ .

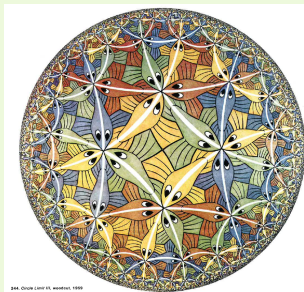
It is a nontrivial computational problem to check that these groups are not isomorphic.



Theorem (William Thurston, c.1974)

*Most knot and link complements admit a hyperbolic metric, and are isometric to something of the form  $\mathbb{H}^3 / G$  where  $G$  is a discrete group of hyperbolic isometries.*

That is, locally most knot and link complements look like a polyhedron in  $\mathbb{H}^3$  with faces identified.



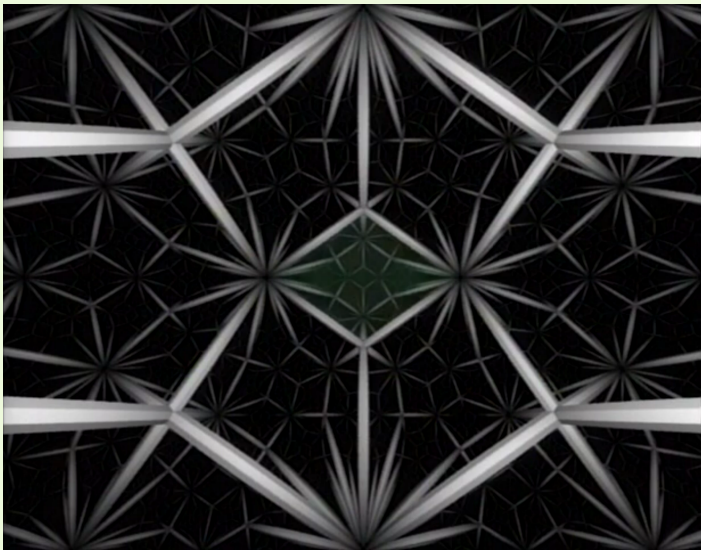
[M.C. Escher (1959)]

# INSIDE $\mathbb{H}^2 \times \mathbb{R}$



Screenshot from *Hyperbolica* (CodeParade, 2022).

# THE COMPLEMENT OF THE BORROMEAN RINGS

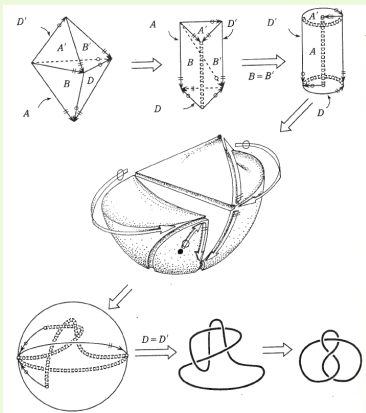


From Gunn/Maxwell, *Not Knot*: <https://www.youtube.com/watch?v=4aN6vX7qXPQ>.

# THE FIGURE 8 KNOT

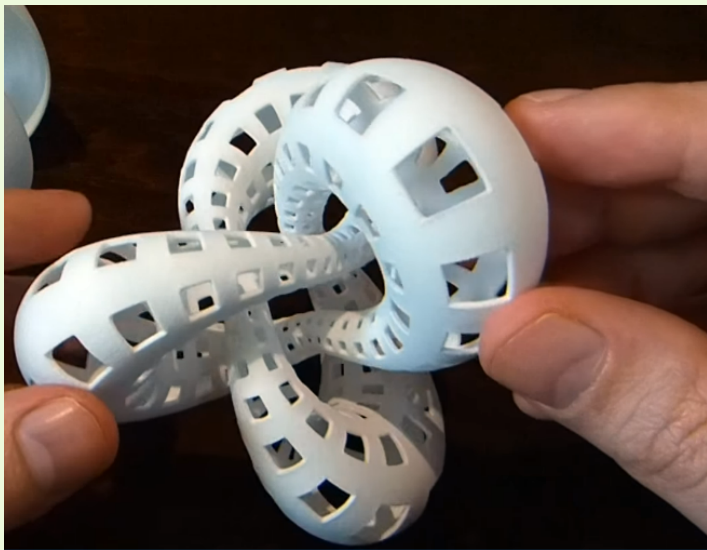
Theorem (Robert Riley (c.1974); William P. Thurston (c.1975))

*The figure 8 knot complement admits a hyperbolic geometry.*



Matsuzaki and Taniguchi, *Hyperbolic manifolds and Kleinian groups*, p.34.

# THE HYPERBOLIC STRUCTURE



[Guéritaud/Segerman/Schleimer, [https://youtu.be/xGf5jY\\_v5GE](https://youtu.be/xGf5jY_v5GE)]

## Theorem (Gromov–Jørgensen–Thurston)

*The set of volumes of hyperbolic manifolds is a well-ordered subset of  $\mathbb{R}$ . The set of manifolds with any given volume is finite.*

Hyperbolic volume of the complement turns out to be a very good link invariant. It can be computed algorithmically.

## Example

The volume of the figure eight knot complement is

$$-6 \int_0^{\pi/3} \log |2 \sin \theta| d\theta = 2.02988\dots$$

## §II. BRAIDS

# WHAT IS...A BRAID?

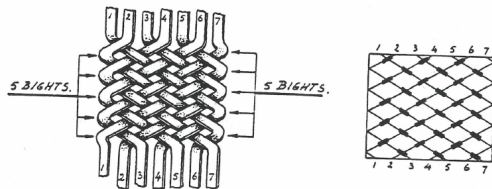


Fig. 6. The (7P, 5B) regular flat braid, with Turk's Head coding

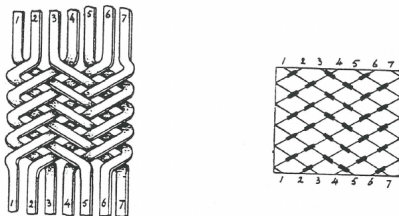


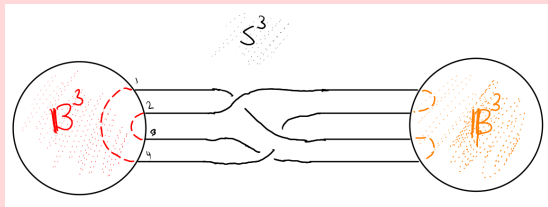
Fig. 7. The (7P, 5B) regular flat braid, with Two Pass Headhunter's coding.  
Figs. 6 and 7 demonstrate two different braids with the same whole string run

J. C. Thurner and P. v.d.Griend (eds.), *History and science of knots*, p. 284.



# BRAIDS AND CONFIGURATIONS OF POINTS ON THE SPHERE

Instead of braiding strings glued onto two planes, braid strings glued on two 2-spheres in  $S^3$  (mod ambient isotopy of  $S^3$ ).

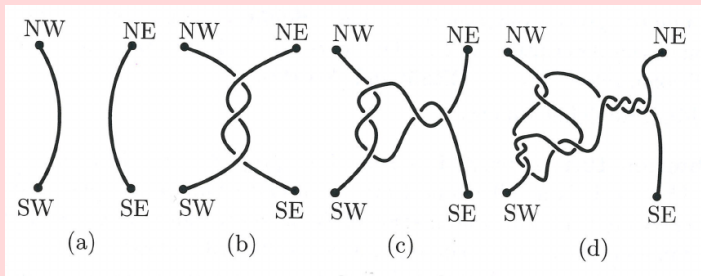


## Theorem

*Every braid with ends glued on spheres admits a unique completion to a knot/link which cannot be simplified by 'untwisting'.*

# RATIONAL TANGLES

We will care only about braids with four strands, completed at one end. We will call these objects **rational tangles**.



J. Purcell, *Hyperbolic knot theory*, p. 208.

Every rational tangle is given by a sequence of integers, this one is  $[4, -2, -2, 3]$ .

# TWO-BRIDGE KNOTS

A two-bridge knot (or link) is the knot obtained by completing a rational tangle.

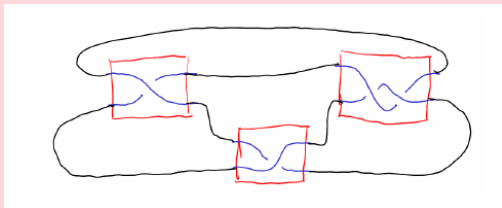
Theorem (Schubert (1956), Conway (1970))

*Rational tangles and two-bridge links are indexed by  $\mathbb{Q} \cup \{\infty\}$ :*

$$[a_n, a_{n-1}, \dots, a_1] \leftrightarrow a_n + \frac{1}{a_{n-1} + \frac{1}{\ddots + \frac{1}{a_1}}}$$

We write  $k(p/q)$  for the link indexed by  $p/q \in \mathbb{Q}$ .

# RILEY REPRESENTATION



## Example

The figure eight knot has rational form  $1 + 1/(1 + 1/2) = 5/3$ .

## Theorem (Riley (1972))

Every two-bridge link  $k(p/q)$  has a fundamental group on two generators and one relation

$$\langle X, Y : W_{p/q}X = YW_{p/q} \rangle$$

where  $W_{p/q}$  is some word in  $X$  and  $Y$  depending only on  $p/q$ . This group admits a representation into  $\mathrm{PSL}(2, \mathbb{C})$  given by

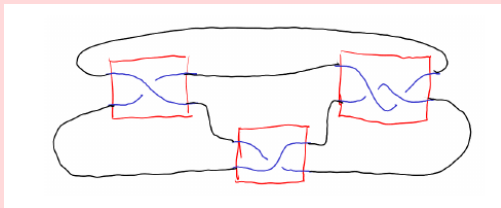
$$X = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}; \quad Y_\rho = \begin{bmatrix} 1 & 0 \\ \rho & 1 \end{bmatrix}$$

where  $\rho \in \mathbb{C}$  depends only on  $p/q$ .\*

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\*Different authors use  $p/q$  or  $q/p$  for different corresponding objects.

# RILEY REPRESENTATION



## Example

In this case the Riley representation is faithful and the fundamental group is

$$\left\langle \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ -e^{2\pi i/3} & 1 \end{bmatrix} \right\rangle.$$

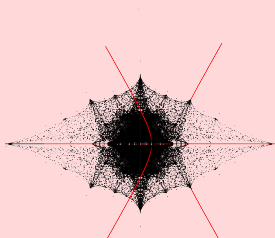
The corresponding word is  $W_{5/3} = Y^{-1}X^{-1}YXYX^{-1}Y^{-1}XYX$ .

# FAREY POLYNOMIALS

The manifold obtained by taking the complement of the rational tangle  $t$  (i.e.  $S^3 \setminus B^3 \cup t$ ) does not have a unique hyperbolic structure. The space of all possible hyperbolic structures is one dimensional over  $\mathbb{R}$ , and the set of all hyperbolic structures is indexed by the component of the set

$$\{\rho \in \mathbb{C} : \operatorname{tr} W_{p/q}(\rho) \in (-\infty, -2)\}$$

with asymptotic angle  $\pi p/q$ . The  $p/q$  knot complement is somehow the ‘limit’ of the sequence of geometric structures on complements of  $p/q$  tangles.



## Theorem (E.-Martin-Schillewart (2022))

If  $\begin{vmatrix} p & r \\ q & s \end{vmatrix} = \pm 1$ , then

$$\operatorname{tr} W_{p/q} \operatorname{tr} W_{r/s} + \operatorname{tr} W_{(p+r)/(q+s)} + \operatorname{tr} W_{|p-r|/|q-s|} = 8$$

as a polynomial in  $\rho$ .

Really, this is a recursion down the tree of continued fractions. Doing a horizontal twist corresponds to ‘adding’  $0/1$ , and doing a vertical twist corresponds to ‘adding’  $1/0$ .



# EXAMPLE POLYNOMIALS

0/1	$2-z$
1/1	$2+z$
1/2	$2+z^2$
2/3	$2-z-2z^2-z^3$
3/5	$2+z+2z^2+3z^3+2z^4+z^5$
5/8	$2+4z^4+8z^5+8z^6+4z^7+z^8$
8/13	$2-z-2z^2-5z^3-12z^4-22z^5-32z^6-44z^7-54z^8-53z^9-38z^{10}-19z^{11}-6z^{12}-z^{13}$
13/21	$2+z+2z^2+7z^3+14z^4+31z^5+64z^6+124z^7+214z^8+339z^9+498z^{10}+699z^{11}+936z^{12}$ $+1148z^{13}+1216z^{14}+1064z^{15}+746z^{16}+409z^{17}+170z^{18}+51z^{19}+10z^{20}+z^{21}$
21/34	$2+z^2+8z^4+24z^5+68z^6+192z^7+516z^8+1256z^9+2834z^{10}+5912z^{11}+11460z^{12}$ $+20816z^{13}+35598z^{14}+57248z^{15}+86446z^{16}+122560z^{17}+163199z^{18}$ $+203952z^{19}+238564z^{20}+259704z^{21}+260686z^{22}+238320z^{23}+195694z^{24}$ $+142328z^{25}+90451z^{26}+49552z^{27}+23058z^{28}+8952z^{29}+2831z^{30}+704z^{31}$ $+130z^{32}+16z^{33}+z^{34}$

# ADVERTISEMENT: MINICOURSE ON KNOT THEORY AND GEOMETRY

**When?** Two lectures every week of July.

**Where?** Dept. of Mathematics, The University of Auckland.

**What?** Classical knot theory. Geometric knot theory and hyperbolic invariants. Braids and mapping classes. Knot polynomials (Alexander, Conway, Jones, HOMFLY-PT).

**Prereqs?** Basic topology (what is  $\pi_1$ ). Passing familiarity with classical hyperbolic geometry in 2 or 3 dimensions.

**Email** [aelz176@aucklanduni.ac.nz](mailto:aelz176@aucklanduni.ac.nz)

## BEDTIME READING

- A.J.E., Gaven Martin, and Jeroen Schillewaert, “Concrete one complex dimensional moduli spaces of hyperbolic manifolds and orbifolds”. In: *2021-22 MATRIX annals*. Springer, to appear.
- —, “The combinatorics of the Farey words and their traces”. arXiv:2204.08076 [math.GT], 2022.
- William P. Thurston, “Three dimensional manifolds, Kleinian groups and hyperbolic geometry”. In: *Bulletin (NS) of the AMS* **6**(3) pp.357–381, 1982.
- Benson Farb and Dan Margalit, *A primer on mapping class groups*. Princeton, 2012.
- Jessica Purcell, *Hyperbolic knot theory*. AMS, 2021.
- Title picture: A. Gardiner, *Egyptian grammar*. Griffith Institute, 1957.

# PROOF OF THE 2022 THEOREM

Suppose  $p/q < r/s$  and  $\begin{vmatrix} p & r \\ q & s \end{vmatrix}$ .

- (Word products.) By careful consideration of the ergodic behaviour of the lift of the curves represented by  $W_{p/q}$ ,  $W_{r/s}$ , and  $W_{(p+r)/(q+s)}$  to the universal cover  $\mathbb{H}^2$  of the four-punctured sphere, we see that  $W_{(p+r)/(q+s)} = W_{p/q} W_{r/s}$  with the  $(q+s)$ th generator in the word inverted.
- (Product and quotient lemmata.) Then by standard trace identities in  $\mathrm{PSL}(2, \mathbb{C})$  we see that

$$\mathrm{tr} W_{p/q} W_{r/s} + \mathrm{tr} W_{(p+r)/(q+s)} = \begin{cases} \mathrm{tr}^2 X & \text{if } q+s \text{ is even} \\ \mathrm{tr} X \mathrm{tr} Y & \text{if } q+s \text{ is odd} \end{cases}$$

and

$$\mathrm{tr} W_{p/q} W_{r/s}^{-1} + \mathrm{tr} W_{|q-s|/|q-s|} = \begin{cases} \mathrm{tr}^2 Y & \text{if } q-s \text{ is even} \\ \mathrm{tr} X \mathrm{tr} Y & \text{if } q-s \text{ is odd.} \end{cases}$$

# PROOF OF THE 2022 THEOREM (CTD)

We proved that

$$\begin{aligned} \operatorname{tr} W_{p/q} W_{r/s} + \operatorname{tr} W_{(p+r)/(q+s)} &= \begin{cases} \operatorname{tr}^2 X & \text{if } q + s \text{ is even} \\ \operatorname{tr} X \operatorname{tr} Y & \text{if } q + s \text{ is odd} \end{cases} \\ \operatorname{tr} W_{p/q} W_{r/s}^{-1} + \operatorname{tr} W_{|q-s|/|q-s|} &= \begin{cases} \operatorname{tr}^2 Y & \text{if } q - s \text{ is even} \\ \operatorname{tr} X \operatorname{tr} Y & \text{if } q - s \text{ is odd;} \end{cases} \end{aligned}$$

- (Standard identity.) In  $\operatorname{PSL}(2, \mathbb{C})$ ,  $\operatorname{tr} A \operatorname{tr} B = \operatorname{tr} AB + \operatorname{tr} AB^{-1}$ .
- Adding the displayed equations and applying the standard identity gives the recurrence. (In fact we have proved more, we only claimed the special case  $\operatorname{tr} X = \operatorname{tr} Y = 2$  but we have proved it for arbitrary  $X$  and  $Y$ .)